

## Ensure your firm's knowledge and competency

Ensure your staff are trained and competent for their role. In just a couple of clicks, you can have all staff trained and Leo will chase any late comers for you.

With courses in Anti-Money Laundering, Conflict of Interest, Cybersecurity and more, staff gain credits for the completion of their training, as well as a certificate for your record keeping.

### Key Features



Compliance Essential Courses  
(some are accredited)



Cost-Effective  
Training Platform



Updated in line with  
new regulations

### Training Courses

Mix and match without limits all available in the Leo platform. Some of the courses include:

- |  |                        |
|--|------------------------|
| 1. Anti-Money Laundering & Counter-Terrorist Financing (SEC) | 5. Fraud Prevention    |
| 2. Conflicts of Interest (SEC)                               | 6. Code of Conduct     |
| 3. Trading Practices   | 7. Bribery Prevention* |
| 4. Cybersecurity   | 8. Whistleblowing      |

\*CPE accredited.

## Overcoming Compliance Barriers

- 1. All staff trained in just a couple of clicks**  
Managing and scheduling compliance training can be time-consuming, requiring significant manual effort. With Leo, simply select the courses, users and deadlines for training and we take care of the rest.
- 2. Efficient Scheduling**  
Our platform streamlines training processes with seamless integration and easy-to-use tools, reducing time and effort spent on scheduling.
- 3. Courses designed to keep staff engaged**  
Compliance training is often viewed as boring, resulting in low participation or rushed, ineffective sessions. With Leo, benefit from real world examples, mini-quizzes, and audio to make the training fresh and exciting.
- 4. Automated Compliance Tracking**  
Leo's platform includes tracking tools that allow management to monitor training progress for all staff, reducing errors and ensuring deadlines are met.

### Trusted by



BEDROCK

SAPPHIRE

TRADE NATION  
POWER UP YOUR TRADING

RAYMOND JAMES

TEMBO  
CAPITAL

STIFEL

## Global Compliance

All courses in this library are available in English, Brazilian Portuguese, Chinese (Simplified), Dutch, French, German, Italian, Japanese, Polish and Spanish.

1. Anti-Money Laundering & Counter-Terrorist Financing (SEC)
2. Bribery Prevention\*
3. Code of Conduct
4. Competition Law
5. Conflicts of Interest (SEC)
6. Counter-Proliferation Financing
7. Cybersecurity
8. Digital Operational Resilience Act (DORA)
9. Embargoes and Sanctions
10. Environment, Social and Governance (ESG)
11. Fraud Prevention
12. General Data Protection Regulation (GDPR)
13. Harassment in the Workplace
14. Information Security
15. Modern Slavery
16. Preventing Sexual Harassment
17. Responsible Use of Artificial Intelligence
18. Responsible Use of Social Media
19. Trading Practices
20. Unconscious Bias
21. Whistleblowing

\*CPE accredited.